

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE  
(REV. 7-2005)

ATTORNEY'S DOCKET NUMBER

112740-1105

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/564595

INTERNATIONAL APPLICATION NO.  
PCT/EP2004/050430INTERNATIONAL FILING DATE  
17 July 2003PRIORITY DATE CLAIMED  
17 July 2003

## TITLE OF INVENTION

TELEPHONE HANDSET AND ACOUSTIC CONVERTER FOR ONE SUCH TELEPHONE HANDSET

## APPLICANT(S) FOR DO/EO/US

Ulrich Hardebusch et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

## Items 13 to 23 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☒ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. EV 512723747 US

U.S. APPLICATION NO (if known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

ATTORNEY'S DOCKET NUMBER

**PCT/EP2004/051333**

**112740-1105**

The following fees have been submitted:

24. ☒ Basic national fee . . . . . \$300

## CALCULATIONS

**PTO USE**

25. ☒ Examination fee (37 CFR 1.492(c))

If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 34	\$0
All other situations.	\$200

**\$300.00**

**\$ \$200.00**

26. ☒ Search fee (37 CFR 1.492(b))

If the written opinion of the ISA/US or the International preliminary examination report by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4). . .	\$0
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the as an International Searching Authority. . . . .	\$100
International Search Report prepared by an ISA other than the US and provided to the previously communicated to the US by the IB. . . . .	\$400
All other situations. . . . .	\$500

**\$ \$400.00**

**TOTAL OF 24, 25 and 26 =**

\$	\$900.00
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☐ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  
The fee is **\$250** for each additional 50 sheets of paper or fraction thereof.

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole	RATE
- 100 =	0 /50 =	0	x \$250.00

**\$ \$0.00**

Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).

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CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	4 - 20 =	0	x \$50.00
Independent claims	1 - 3 =	0	x \$200.00

**\$ \$0.00**

**\$ \$0.00**

MULTIPLE DEPENDENT CLAIMS (if applicable)	<input type="checkbox"/>	+	\$360.00
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**\$ \$0.00**

**TOTAL OF ABOVE CALCULATIONS =**

**\$ \$900.00**

☐ Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.

**\$0.00**

**SUBTOTAL =**

**\$ \$900.00**

Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).

**\$ \$0.00**

TOTAL NATIONAL FEE =

**\$ \$900.00**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +

**\$ \$0.00**

**TOTAL FEES ENCLOSED =**

**\$ \$900.00**

Amount to be

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Amount to be

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

- a. ☒ A check in the amount of \$ \$900.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-1818. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Customer No. 29177

Bell Boyd & Lloyd LLC  
P.O. Box 1135  
Chicago, Illinois 60690

  
SIGNATURE

Peter Zura

NAME

48,196

REGISTRATION NUMBER

January 13, 2006

DATE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Ulrich Hardebusch et al.  
Appl. No.: PCT/EP2004/050430  
Title: TELEPHONE HANDSET AND ACOUSTIC CONVERTER FOR ONE SUCH  
TELEPHONE HANDSET  
Docket No.: 112740-1105

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING BY EXPRESS MAIL UNDER 37 CFR 1.10

Sir:

I hereby certify that the following documents relating to the above-identified application:

1. Transmittal Letter (duplicate);
2. International application as originally filed on 20 pages;
3. Preliminary Examination Report with Amended Sheets on 11 pages;
4. International Search Report on 13 pages;
5. English translation with amended sheets on 13 pages;
6. executed declaration and power of attorney on 3 pages;
7. Preliminary Amendment on 20 pages;
8. IDS on 2 pages; PTO 1449 on 1 page; references;
9. Check in the Amount of \$900.00; and
10. Return Receipt Postcard.

are being deposited with the United States Postal Service with sufficient postage as Express Mail

in an envelope addressed to:

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

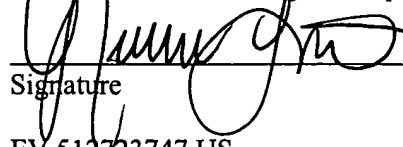
on January 13, 2006.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

Heather Foster

Name of Person Mailing Correspondence



Signature

EV 512723747 US

Express Mail Mailing Label Number